



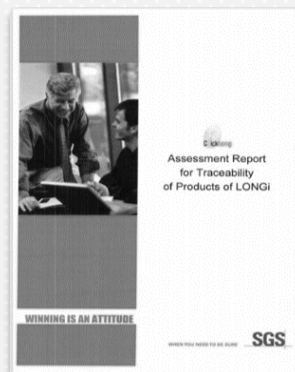
# Responsible Supply Chain Management & Product Traceability

## TRACEABILITY MANAGEMENT SYSTEM & CAPABILITY

**With our robust traceability management system and capabilities, we are ready to support your compliance needs**

### Full Production Cycle Traceability Management

- ✓ Industry-leading product traceability management system
- ✓ LONGi focuses on building transparent supply chain to achieve traceability throughout the product lifecycle, has a high level of vertical integration giving control over the entire manufacturing process including module, cell, wafer, ingot, and polysilicon.
- ✓ LONGi has implemented a comprehensive traceability system to ensure product quality and customer satisfaction.
- ✓ The tracing logic and ability has been tested and verified by numerous third parties (SGS/STS/PI Berlin, etc).
- ✓ It covers all aspects of the supply chain from PV Module to raw materials.



### Reliable Supply Chain Traceability

- ✓ Authoritative 3rd party assessment Report (SGS)
- ✓ Assessment result: reliable and effective

## SUPPLIER CSR RISK MANAGEMENT SYSTEM

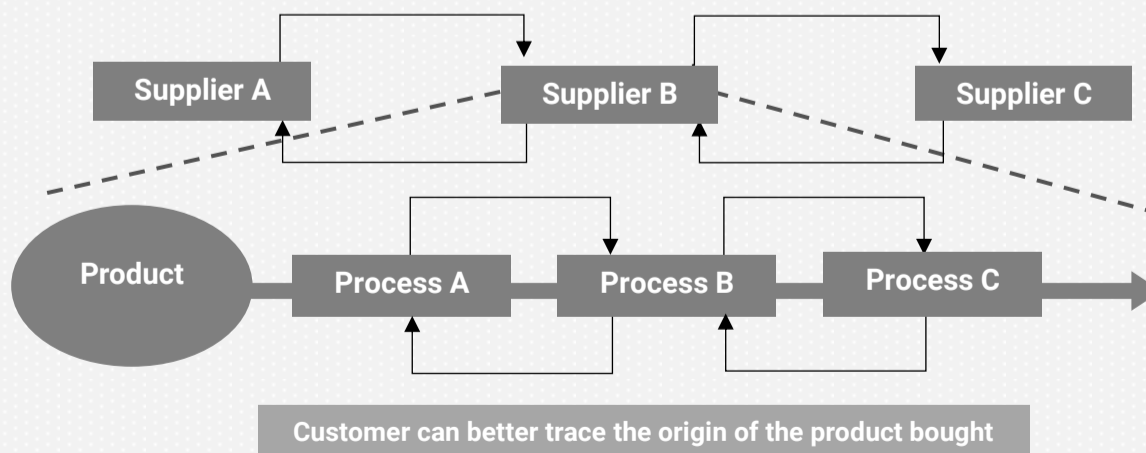
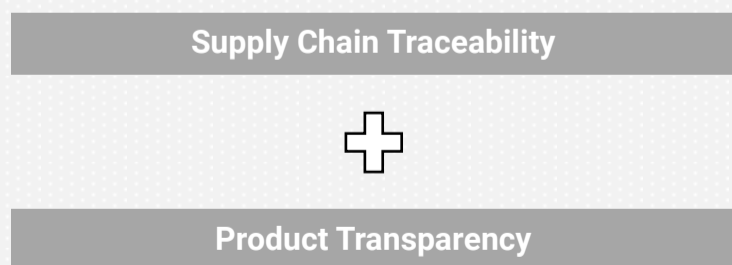
**Our suppliers are obliged to fulfil their social responsibility**

- ✓ LONGi introduced whole life cycle policy to manage suppliers and through supplier CSR management to ensure suppliers' CSR conformity. Meanwhile through diversified risk control measures to achieve supplier risk management.

| Supplier Management Policy  | Supplier CSR Management   | Supplier Risk Management                 |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
|---|---|--|--------------------------------------|--------------------------|-------------------------|--|------------------------|-----------------|--|--------------------|------------------------|--|------------------------|--|--|-----------------|--|--|---|--|--|-------------------------------------|---|-------------------------------------|-----------------------------|--|------------------------------|--|---------------------------------------|---------------------------------------|--|--|-------------------------------------|--|--|----------------------------------|--|--|------------------------------------|--|--|-------------------|------------------------|--------------------|--|-----------------|--|------------------------------|---|
| <p><b>Supplier management</b></p> <table border="1"> <tr> <td><b>Supplier full life cycle management</b></td> <td><b>Supplier sustainability</b></td> <td><b>Supplier Management Committee</b></td> </tr> <tr> <td>Resource pool management</td> <td>Relationship management</td> <td></td> </tr> <tr> <td>Development management</td> <td>Risk management</td> <td></td> </tr> <tr> <td>Process management</td> <td>Green &amp; CSR management</td> <td></td> </tr> <tr> <td>Performance management</td> <td></td> <td></td> </tr> <tr> <td>Exit management</td> <td></td> <td></td> </tr> </table> <p>LONGi introduced whole life cycle Policy to manage suppliers, under the surveillance of Supplier Management Committee, as below:</p> <ul style="list-style-type: none"> <li>✓ Supplier Selection</li> <li>✓ AVL (Approved Vendor List) Supplier Management</li> <li>✓ Resource Pool</li> <li>✓ Performance</li> <li>✓ Communication</li> <li>✓ Supplier Exit Management</li> <li>✓ Sustainability Management</li> </ul> | <b>Supplier full life cycle management</b>  | <b>Supplier sustainability</b>           | <b>Supplier Management Committee</b> | Resource pool management | Relationship management |  | Development management | Risk management |  | Process management | Green & CSR management |  | Performance management |  |  | Exit management |  |  | <p><b>Supplier CSR Management</b></p> <table border="1"> <tr> <td><b>Supplier development CSR management</b></td> <td><b>Supplier process CSR management</b></td> <td><b>Supplier exit CSR management</b></td> </tr> <tr> <td>CSR management in the supplier recruitment, registration, and qualification review stages</td> <td>Supplier procurement CSR management</td> <td>Supplier exit CSR scenarios</td> </tr> <tr> <td>Supplier comprehensive evaluation CSR management</td> <td>Supplier CSR risk management</td> <td>Other requirements for supplier exit CSR</td> </tr> <tr> <td>Supplier certification CSR management</td> <td>Supplier CSR review and certification</td> <td></td> </tr> <tr> <td></td> <td>Supplier CSR performance management</td> <td></td> </tr> <tr> <td></td> <td>Supplier CSR exchange management</td> <td></td> </tr> <tr> <td></td> <td>Supplier CSR capability management</td> <td></td> </tr> </table> <p>To ensure suppliers' CSR conformity, LONGi embedded it into the whole life cycle supplier management process:</p> <ul style="list-style-type: none"> <li>✓ Supplier CSR requirements &amp; Evaluation</li> <li>✓ CSR Risk Management</li> <li>✓ CSR Performance monitor</li> <li>✓ Supplier CSR capability Empowerment</li> <li>✓ CSR Exit Management</li> </ul> | <b>Supplier development CSR management</b> | <b>Supplier process CSR management</b> | <b>Supplier exit CSR management</b> | CSR management in the supplier recruitment, registration, and qualification review stages | Supplier procurement CSR management | Supplier exit CSR scenarios | Supplier comprehensive evaluation CSR management | Supplier CSR risk management | Other requirements for supplier exit CSR | Supplier certification CSR management | Supplier CSR review and certification |  |  | Supplier CSR performance management |  |  | Supplier CSR exchange management |  |  | Supplier CSR capability management |  | <p><b>Supplier Risk Management</b></p> <table border="1"> <tr> <td>1. 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Business capacity audit, etc.</li> </ul>                     Follow and close non-conformity either by continual improvement                 </td> </tr> </table> <ul style="list-style-type: none"> <li>✓ Due Diligence Questionnaire covering 12 topics 89 checkpoints.</li> <li>✓ These include, labor employment, labor protection, union freedom and collective bargaining, fair treatment, hourly wages, supply chain management, supply chain traceability, management system, health &amp; safety, environmental protection, legal compliance and fair treatment, and conflict minerals.</li> </ul> | 1. Basic Criteria | 1. Obey COC commitment | 2. Risk Assessment | 2. Certification Threshold in Register <ul style="list-style-type: none"> <li>• 1. ISO9001,ISO14001,ISO45001 Certificate</li> <li>• 2. Basing on different category entrance criteria</li> </ul> | 3. Risk Control | <b>Risk Assessment</b> <ul style="list-style-type: none"> <li>1. 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| <b>Supplier full life cycle management</b>  | <b>Supplier sustainability</b>  | <b>Supplier Management Committee</b>     |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Resource pool management  | Relationship management   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Development management  | Risk management   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Process management  | Green & CSR management  |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Performance management  |   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Exit management   |   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| <b>Supplier development CSR management</b>  | <b>Supplier process CSR management</b>  | <b>Supplier exit CSR management</b>      |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| CSR management in the supplier recruitment, registration, and qualification review stages   | Supplier procurement CSR management   | Supplier exit CSR scenarios              |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Supplier comprehensive evaluation CSR management  | Supplier CSR risk management  | Other requirements for supplier exit CSR |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| Supplier certification CSR management   | Supplier CSR review and certification   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
|   | Supplier CSR performance management   |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
|   | Supplier CSR exchange management  |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
|   | Supplier CSR capability management  |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
| 1. Basic Criteria   | 1. Obey COC commitment  |  |                                      |                          |                         |  |                        |                 |  |                    |                        |  |                        |  |  |                 |  |  |   |  |  |                                     |   |                                     |                             |  |                              |  |                                       |                                       |  |  |                                     |  |  |                                  |  |  |                                    |  |  |                   |                        |                    |  |                 |  |                              |   |
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## THE MOST COMPREHENSIVE PRODUCT TRACEABILITY ASSURANCE

**With our thorough visibility into our supply chain, we ensure high levels of transparency**



- ✓ Better deal with Upcoming Due Diligence law or Product Bans.
- ✓ Greater degree to meet the increased needs for traceability and transparency.
- ✓ The core materials come from LONGi certified factories and dedicated lines.
- ✓ Providing comprehensive product traceback assurance, offering the "LONGi Traceability Information Report."

| MODULE                               | CELL                     | WAFER               | INGOT         | POLYSILICON                              |
|--------------------------------------|--------------------------|---------------------|---------------|--|
| Bill of Landing No. & Module Factory | Cell Batch No. & Factory | Wafer COA & Factory | Ingot Factory | Polysilicon Purchase No. & Supplier Name |

# Responsible Supply Chain Management & Product Traceability



## SUPPLY CHAIN MAPPING

Our supply chain maps offer a comprehensive view of our supply chain dependencies

| Supply Chain | Supplier Location |          | Suppliers' name   |
|--------------|-------------------|----------|---|
|              | country           | province |   |
| Module       | China             | Jiangsu  | LONGi Photovoltaic Technology (Taizhou) Co., Ltd.   |
| Cell         | China             | Jiangsu  | Supplier 1: LONGi Solar Technology (Taizhou) Co., Ltd.<br>Supplier 2: LONGi Solar Technology (Xixian New Area) Co., Ltd.<br>Supplier 3: ..... |
| Wafer        | China             | Yunnan   | Supplier 1: Lufeng LONGi Silicon Materials Co., Ltd.<br>Supplier 2: LONGi Solar Technology (Xixian New Area) Co., Ltd.<br>Supplier 3: .....   |
| Ingot        | China             | Yunnan   | Supplier 1: Tengchong LONGi Silicon Materials Co., Ltd.<br>Supplier 2: Lijiang LONGi Silicon Materials Co., Ltd.<br>Supplier 3: .....         |
| Polysilicon  | China             | Qinghai  | Supplier 1: Disclosure in the formal report<br>Supplier 2: .....  |

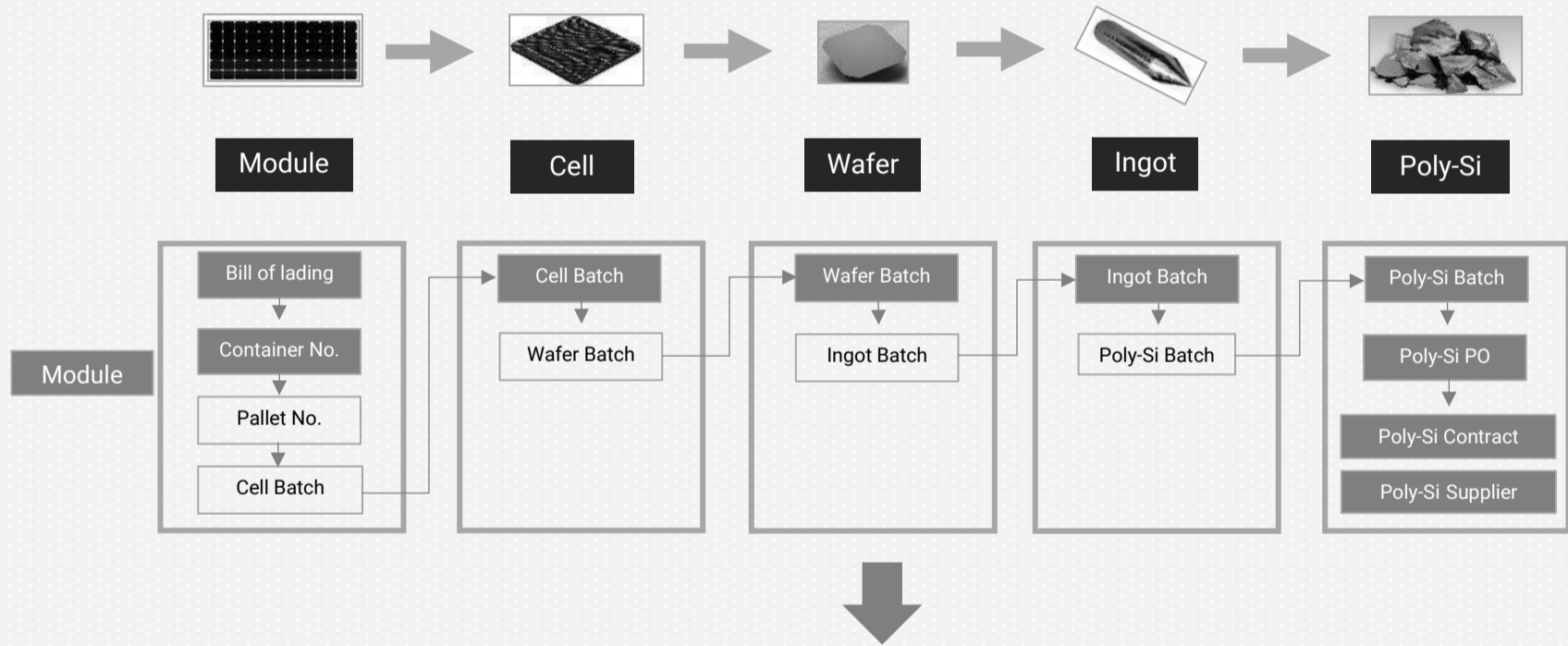
Sample

Sample



## TRACEABILITY REPORT\*

Our traceability reports provide a broader and deeper visibility into our supply chain



| LONGi 隆基追溯报告 LONGi Traceability Report |                         |                           |                         |                         |                          |                          |                          |                          |                               |                                     |                                 |
|--|-------------------------|---------------------------|-------------------------|-------------------------|--------------------------|--------------------------|--------------------------|--------------------------|-------------------------------|-------------------------------------|---------------------------------|
| 提单号<br>Bill of Lading No.              | 组件集装箱号<br>Container No. | 组件生产工厂<br>Module Producer | 电池批次号<br>Cell Batch No. | 电池生产工厂<br>Cell Producer | 硅片批次号<br>Wafer Batch No. | 硅片生产工厂<br>Wafer Producer | 硅棒批次号<br>Ingot Batch No. | 拉晶生产工厂<br>Ingot Producer | 硅料采购订单号<br>Polysilicon PO No. | 硅料采购合同号<br>Polysilicon Contract No. | 硅料供应商名称<br>Polysilicon Supplier |

\* The actual traceability report and traceability scheme will be determined according to the contract/agreement.

## ADDITIONAL NOTES

- ✓ The above content is only the demonstration of the general traceability capability of the LONGi Distributed Generation products. The specific product traceability service plan is subject to the contract/agreement.
- ✓ If you have specific needs regarding supply chain mapping, etc., or you want to know LONGi product traceability ability more, please contact LONGi sales representative.
- ✓ LONGi will rely on the existing capabilities to maximize the fulfilment of your requirements.